



**Federal Agency for Medicines and Health Products (FAMHP)**

## What can be done from regulatory side?

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**Dr. Sonja BEKEN**  
Non-Clinical Assessor, Registration Department

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# OVERVIEW OF PRESENTATION

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**Regulatory Background**

**Acceptance of 3R Methods for Non-Clinical Testing of Human Medicinal Products**

**ICH and the 3Rs: Recent Experiences**

**Input/Feedback Mechanisms**

**Conclusions**

## **Regulatory Background**

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## Non-Clinical Guidelines, Recommendations by:

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**EU: EMEA** (European Medicines Agency; [www.emea.europa.eu](http://www.emea.europa.eu))



**Eudralex** (The Rules Governing Medicinal Products in the EU;  
<http://ec.europa.eu/enterprise/pharmaceuticals/eudralex/index.htm>)



**USA: FDA** (Food and Drug Administration ; [www.fda.gov](http://www.fda.gov))



**JAPAN: Japanese Ministry of Health and Welfare** ([www.mhlw.go.jp](http://www.mhlw.go.jp))

Ministry of Health, Labour and Welfare

厚生労働省

# Non-Clinical Guidelines: Harmonisation

ICH: International Conference on Harmonisation ([www.ich.org](http://www.ich.org))

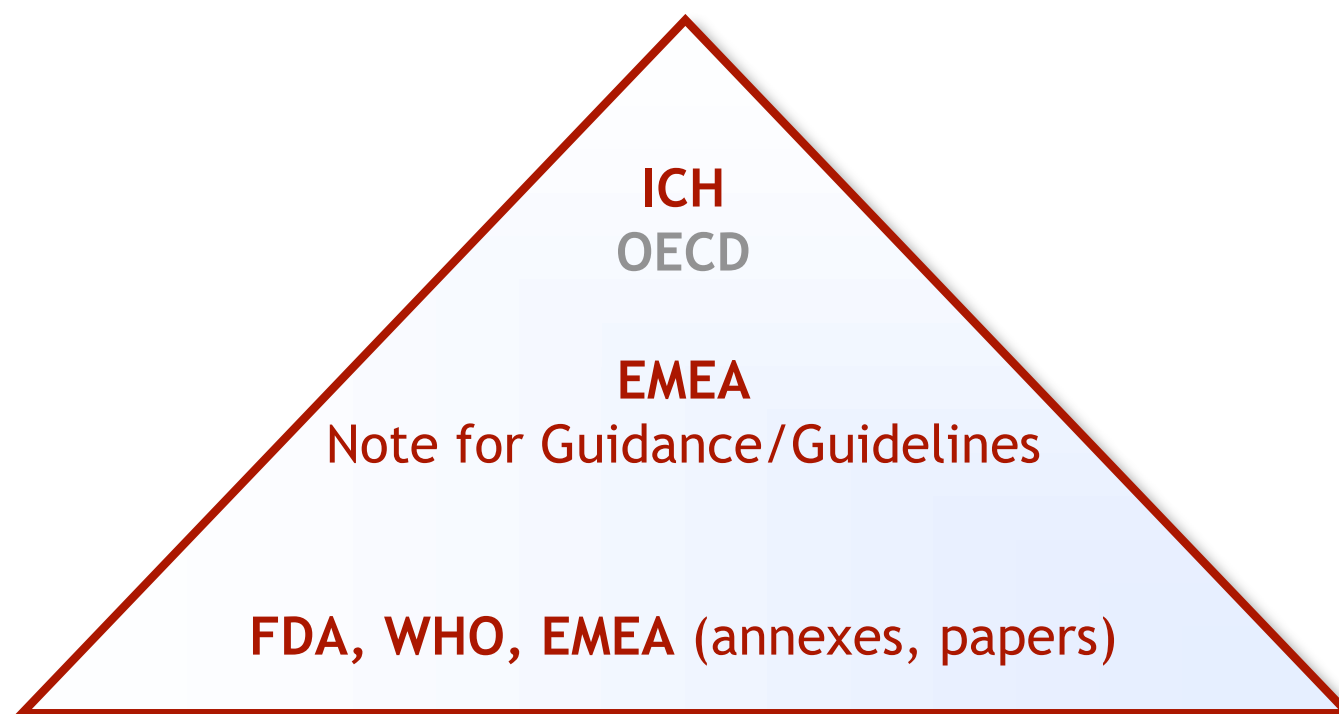


## Mission:

- To maintain a forum for a constructive **dialogue** between regulatory authorities and the pharmaceutical industry on the real and perceived differences in the technical requirements for product registration in the EU, USA and Japan;
- To contribute to the protection of public health from an international perspective;
- To monitor and update **harmonised technical requirements** leading to a greater mutual acceptance of research and development data;
- To avoid divergent future requirements through harmonisation of selected topics;
- To **facilitate the adoption** of new or improved technical research and development approaches;
- To facilitate the **dissemination and communication** of information on harmonised guidelines and their use.

## Non-Clinical Guidelines: Scientific Hierarchy

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## The Safety Working Party (SWP) of the CHMP

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*The SWP is established to provide recommendations to the CHMP on all matters relating directly or indirectly to non-clinical safety aspects.*

These include:

- Support to **dossier evaluation** on non clinical safety related matters
- **Scientific advice** - general and product specific matters
- Contribution to the Scientific Advice Working Party of the CHMP
- Assessment of **non clinical safety findings raised post authorisation**
- **Preparation, review and update of guidelines**
- **Training**
- On request, advice, through the CHMP, to MRFG, HMPC, EC
- **Liaison with interested parties (e.g. EFPIA, ECVAM, ABPI, ILSI)**

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## CPMP Position Paper on Replacement of Animal Studies by *In Vitro* Models (CPMP/SWP/728/95 - adopted 1997)

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### EU level:

- Feasibility of replacing *in vivo* animal studies
- Procedure for validating *in vitro* tests
- Procedure for incorporating *in vitro* tests into the regulatory requirements
- Areas for which the acceptance of *in vitro* tests can be considered

## Criteria of Acceptance of 3R Methods

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- Early tox / compound screening:  
in-house validation by companies, no regulatory involvement
- Exploratory/mechanistic studies for regulatory decision-making:  
based upon demonstrated “scientific validity“
- Pivotal (guideline-driven) studies:  
different routes of „formal (?)“ validation
  - “historically“ introduced 3R models: NO formal validation
  - transition from exploratory/mechanistic screening models to pivotal studies based on accumulating experiences (review of data bases)
  - targeted replacement of established animal study requires formal validation

## Acceptance of 3R Approaches, the only Way Forward ...

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### International level:

- A regional implementation of new 3R methods is mostly not feasible taking into account existing ICH regulations



Implementation of new 3R methods should proceed via ICH process!!



- ICH mission includes commitment to take 3R aspects into consideration, but no formal criteria defined

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## ICH and the 3Rs: Recent Experiences

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- ICH Expert Working Group Meeting, Brussels, May 2007:  
1st ICH meeting with ICCVAM, ECVAM & JaCVAM; discussion on:
  - possibility of future collaboration
  - input of CVAMs when drafting new or revising existing guidelines?
  - input of CVAMs on defining acceptance criteria??
- Current ICH topics in relation to 3Rs:
  - S2, revision, genotoxicity testing
  - S6, update, non-clinical testing of biologicals
  - S9, new! Non-clinical testing of oncology products
  - M3, revision, non-clinical testing prior to clinical trials

## Guidance on Genotoxicity Testing and Data Interpretation for Pharmaceuticals intended for Human Use (ICH S2 (R1))

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- Revision of ICH S2A/B - Step 2 reached February 2008, Step 4 expected June 2010
- Why?
  - high rate of (false) positive findings in *in vitro* mammalian cell tests
  - consideration of new test methods:
    - *in vitro* micronucleus test
    - *in vivo* models applicable to a variety of tissues
    - use of rat blood for micronucleus evaluation
  - further implementation of 3R aspects

## Guidance on Genotoxicity Testing and Data Interpretation for Pharmaceuticals intended for Human Use (ICH S2 (R1))

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### ➤ Application of the 3Rs

- No concurrent positive controls in every *in vivo* assay
- Genotoxicity testing is integrated into existing repeat dose toxicity studies
- Incorporation of 2 genotoxicity assays (different tissues) in one study using the same animals
- Reduction of “non-relevant” *in vitro* results → decrease in follow-up *in vivo* assays

## Preclinical Safety Evaluation of Biotechnology-derived Pharmaceuticals (ICH S6)

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- Update process only just started! Step 2 expected June/November 2009.
  
- Update on
  - Species selection
  - Study duration
  - Reproductive/developmental toxicity testing
  - Carcinogenicity testing
  - Immunogenicity testing



## Preclinical Safety Evaluation of Biotechnology-derived Pharmaceuticals (ICH S6)

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### ➤ Application of the 3Rs:

- Enhanced Pre-&Post-Natal Development study design with overall assessment of all developmental toxicity endpoints in a single cohort of gestationally exposed animals:
  - Reduction of the need for 2 separate studies (EFD and PPND studies)
  - Reduction of animal numbers with one treated group and a control group
- No need for fertility studies (inclusion of additional endpoints in repeat dose toxicity studies)
- Use of only one relevant species for chronic toxicity studies - if circumstances can be defined when this would be sufficient
- Recovery groups not required for all treatment groups
- Only 2 repeat dose studies required for non-oncology products: FIH-supporting and 6-months chronic toxicity study
- No need for two-year carcinogenicity studies
- Use of a surrogate in order to avoid use of non-human primates e.g. for reproductive toxicity testing

## Non-Clinical Evaluation of Anti-Cancer Pharmaceuticals (ICH S9)

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➤ Step 2 probably November 2008

➤ Why?

- New effective anticancer drugs sooner!
- Phase I studies often in cancer patients with advanced disease status
- Clinical dose levels are at or close to adverse effect dose levels
- Need for flexibility (type & timing) in design of non-clinical studies

➤ Scope:

- All pharmaceuticals intended to treat patients with cancer (late stage or advanced disease), regardless of the route of administration, including both small molecules and biotechnology derived products

## Non-Clinical Evaluation of Anti-Cancer Pharmaceuticals (ICH S9)

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### ➤ Application of the 3Rs:

- No need for a 6- or 9-month chronic toxicity study, 3-month data considered sufficient
- No need for fertility studies (inclusion of additional endpoints in repeat dose toxicity studies)
- No need for peri- and post-natal development studies
- If embryofoetal development study is unambiguously positive, no confirmatory study in 2nd species is required
- Inclusion of safety pharmacology endpoints in repeat dose toxicity studies
- No need for non-rodent studies for initiation of clinical trials with cytotoxic pharmaceuticals

## Non-Clinical Evaluation of Anti-Cancer Pharmaceuticals (ICH S9)

### ➤ Comparison of non-clinical programmes:

	New Chemical Entity	New Biotech. Product	New Oncologic Drug
<b>Pharmacology</b>			
Pharmacodynamics	+	+	+
Safety pharmacology	+	+	+ <sup>2</sup>
<b>Pharmacokinetics</b>	+	+	+
<b>Toxicology</b>			
Acute toxicity	+	+	-
Repeat dose toxicity	+ <sup>1</sup>	+ <sup>1</sup>	+ <sup>3</sup>
Reproductive toxicity	+	+	+ <sup>4</sup>
Genotoxicity	+	(+)	+
Carcinogenicity	(+)	(+)	-
Local tolerance	(+)	+	-
Antigenicity/Immunotox.	+	+	(+)

1. including toxicokinetic and immunogenic measurements
2. performed as part of the repeat dose toxicity study
3. 3-month studies could be considered sufficient.
4. EF not essential for drugs targeting rapidly dividing cells, a positive result precludes further testing in 2nd species, no fertility or peri- & post-natal studies warranted.

## Non-Clinical Safety Studies for the Conduct of Human Clinical Trials and Marketing Authorisation for Pharmaceuticals (ICH M3)

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- Step 2 reached June 2008, Step 4 expected June 2009.
- Objective:
  - Recommendation of international standards for and promotion of harmonisation of non-clinical safety testing to support human clinical trials
  - Facilitation of timely conduct of clinical trials
  - Reduced the use of animals in accordance with the 3R principles
- New sections on:
  - Estimation of first dose in humans
  - Exploratory clinical trials
  - Paediatric clinical trials
  - Immunotoxicology
  - Phototoxicity
  - Non-clinical abuse liability
  - Non-clinical testing of fixed combination of drugs

## Non-Clinical Safety Studies for the Conduct of Human Clinical Trials and Marketing Authorisation for Pharmaceuticals (ICH M3)

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### ➤ Application of the 3Rs

- No stand-alone single dose/acute toxicity test required
- Reduced non-clinical programme for exploratory clinical trials
- 6-month chronic toxicity studies
- Timing reproductive/developmental toxicity testing

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## Input/Feedback Mechanisms: Current Status

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- Involvement in the regulatory-directed activities of EPAA
- EU initiatives in collaboration with pharmaceutical industry (e.g. concept paper on single dose/acute toxicity - EMEA/CHMP/SWP/302413/2008)
- Input in ICH related activities (e.g. interaction with CVAMs, revision/updating/drafting non-clinical guidelines)
- Recurrent interaction with EFPIA on 3R-related issues
- Planned dialogue with coordinators of relevant EU FP research projects
- Involvement of individual regulators in EU FP projects, IMI (EU), C-Path (FDA)
- Communications at scientific conferences (e.g. eSI)



## Input/Feedback Mechanisms: Way Forward

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- Need for a structural approach in order to
  - align early method development with (future) regulatory requirements
  - interact with ECVAM-driven validation exercises (prioritisation!)
  - identify critical non-clinical areas of concern for pharmaceuticals and upcoming new therapies
  - promote swift implementation of useful 3R methods

*and thus ...*

## Input/Feedback Mechanisms: Way Forward

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- Need for a structural approach

*and thus ...*

- Need for a specific 3R regulatory “task force” to serve a single contact point/watch dog to ensure
  - continuous input/feedback with stakeholders in the field of the 3Rs (academic research, pharmaceutical industry, EPAA, CVAMs, ecopa, ...)
  - increased communication and formalised communication and interaction strategies with 3R stakeholders and other involved sectors (chemicals, cosmetics etc)
  - continuous, accurate, relevant and up-to-date 3R input in the regulatory process in balance with maximal protection of human health

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- Acceptance and implementation of 3R methods for regulatory non-clinical testing of pharmaceuticals occurs via multiple and flexible approaches in line with the range of specific objectives and regulatory requirements
- Implementation of 3R methods via ICH process has the highest impact and ongoing revisions/updating/drafting exercises all take the 3Rs into account as far as reasonably practicable
- Input/feedback mechanisms are in place but need to be much more elaborated and formalised in the near future

*Thank you for your attention!*

*Questions???*

The personal views expressed in this presentation do not necessarily reflect the views of the FAMHP or the EMA.

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